



Notice of a public

Joint Budget Decision Session - Executive Leader (incorporating Policy, Strategy and Partnerships) and Finance and Performance

- To:** Councillors Aspden and Ayre (Executive Members)
- Date:** Wednesday, 15 January 2020
- Time:** 5.30 pm
- Venue:** The Craven Room - Ground Floor, West Offices (G048)

AGENDA

Notice to Members – Post Decision Calling In:

Members are reminded that, should they wish to call in any item* on this agenda, notice must be given to Democratic Services by **4:00 pm on 17 January 2020**.

*With the exception of matters that have been the subject of a previous call in, require Full Council approval or are urgent, which are not subject to the call-in provisions. Any called in items will be considered by the Customer and Corporate Services Scrutiny Management Committee.

Written representations in respect of items on this agenda should be submitted to Democratic Services by at **5.00pm on 13 January 2020**.

1. Declarations of Interest

At this point in the meeting, the Executive Members are asked to declare:

- any personal interests not included on the Register of

Interests;

- any prejudicial interests;
- any disclosable pecuniary interests

which they may have in respect of business on this agenda.

2. Public Participation

At this point in the meeting, members of the public who have registered to speak can do so. The deadline for registering is **5.00pm on 14 January 2020**. Members of the public can speak on agenda items or matters within the Executive Members remit.

To register to speak please contact the Democracy Officer for the meeting, on the details at the foot of the agenda.

Filming, Recording or Webcasting Meetings

Please note that, subject to available resources, this meeting will be filmed and webcast ,or recorded, including any registered public speakers who have given their permission. The broadcast can be viewed at <http://www.york.gov.uk/webcasts> or, if recorded, this will be uploaded onto the Council's website following the meeting.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at:

https://www.york.gov.uk/downloads/file/11406/protocol_for_webcasting_filming_and_recording_of_council_meetings_20160809

3. Financial Strategy 2020/21 to 2024/25 (Pages 1 - 54)

This report provides background information for the overall Financial Strategy and presents the savings proposals and growth assumptions for Executive Leader Policy, Strategy and

Partnerships and Finance and Performance portfolios, for consideration by the Executive Members before finalisation of the overall Financial Strategy, which will be presented to Executive on 13 February 2020.

4. Urgent Business

Any other business which the Executive Leader considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Robert Flintoft

Contact Details:

Telephone – (01904) 555704

Email – robert.flintoft@york.gov.uk

For more information about any of the following please contact the Democratic Services Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports and
- For receiving reports in other formats

Contact details are set out above.

This information can be provided in your own language.

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

 (01904) 551550

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Joint Decision Session
Leader, Executive Member for Policy, Strategy & Partnerships
Executive Member for Finance & Performance

15 January 2020

Report of the Head of Corporate Finance and Commercial Procurement

Financial Strategy 2020/21 to 2024/25

Summary

1. The Financial Strategy and Capital Strategy 2020/21 to 2024/25 will be presented to Executive on 13 February 2020 and Council on 27 February 2020.
2. The purpose of this Decision Session Report is to provide background information for the overall Financial and Capital Strategy and to present the savings proposals and growth assumptions for the Leader and Finance & Performance portfolios. This will provide an opportunity for Executive Members to obtain feedback in advance of the finalisation of the overall Financial Strategy which will be presented to Executive on 13 February 2020.
3. Key assumptions for the overall Financial Strategy are as follows;
 - A proposed basic council tax increase of 1.99 % in 2020/21. Any increase above this amount would require a referendum.
 - In addition an increase of 2% in line with the government's social care precept, equating to additional income of £1.8m, which provides support for social care
 - Revenue savings of £4m in 2020/21
4. City of York Council continues to face financial challenges, particularly with regard to uncertainty over national funding streams and growing demand for Council services, especially within adult social care.

5. The Council's proposed overall Financial Strategy includes significant investment in several priority areas outlined in the recently agreed Council Plan, including front line services, adult social care, neighbourhood-based working and initiatives to reduce carbon emissions.
6. Over £11m in additional revenue funding will be added to the Council's 2020/21 Budget to support the objectives outlined in the new Council Plan. Specific examples of revenue investment include;
 - Good Health & Wellbeing – revenue investment in 2020/21 of over £4.5m in adult social care, to support and care for some of the most vulnerable residents in York. This includes the costs of care, supporting adult social care staff and enabling residents to remain in their homes for longer.
 - A Better start for Children and Young People - revenue investment in 2020/21 of £225k, including £50k to commission additional mental health early intervention work, with a further £190k funding to contribute towards initiatives aimed at improving children's wellbeing and tackling the city's attainment gap.
 - Safe Communities and Culture for all - revenue investment in 2020/21 of £340k, including additional officer capacity to support our local communities and ward committee funding to ensure safer communities across York.
 - A Greener and Cleaner City - revenue investment in 2020/21 of over £1m to create a new waste and street environment service, with a new neighbourhood focus to support local communities.
 - A Greener and Cleaner City - revenue investment in 2020/21 of £50k to invest in the Northern Forest
 - A Greener and Cleaner City – Revenue investment of £150k in 20/21 with a further £150k committed in 2021/22 towards the Climate Change delivery programme (with appropriate senior officer support and expertise in carbon budgeting) to coordinate, develop and implement the council's ten year plan to deliver a zero carbon future for York.
 - Getting around sustainably - revenue investment in 2020/21 of £265k to improve the electric car charging point network in the city, with a further £200k one off funding to refresh the Transport Plan,

including feasibility work on the potential options regarding Haxby Station.

- Creating homes and world class infrastructure - revenue investment in 2020/21 of £1.5m to continue the on-going work of the Housing Delivery Programme, York Central, Castle Gateway, the Community Stadium and other crucial major projects.
7. Over £53m will be added to the Council's Capital Budget to support the objectives outlined in the new Council Plan. Specific examples of capital investment include;
- Good Health & Wellbeing – additional capital investment of over £400K to support adult social care services, including £200K to trial the use of robotics to improve the quality of social care and an additional £275K to provide telecare equipment to vulnerable residents in order to enable them to stay in their homes.
 - A Better start for Children and Young People – capital investment of £500K to improve school buildings across the city, focussing on accessibility, and £30K to develop early years support schemes in the city.
 - Safe Communities and Culture for all – capital investment of £500K for the York Theatre Royal to improve their accessibility and the sustainability of their premises. An additional £25K will contribute to the refurbishment of the National Centre for Early Music.
 - A Greener and Cleaner City – capital investment of over £9 million to develop new initiatives to reduce carbon emissions, including over £6 million to procure greener waste vehicles, £3 million for the development of the Northern Forest and £250K to fit carbon reduction technology on Council assets.
 - Getting around sustainably - capital investment of over £600K to install new electric charging points across the city as well as replacing unreliable assets, and over £1.5 million to refresh the Local Transport Plan, particularly in light of the challenges posed by climate change.
 - Creating homes and world class infrastructure – capital investment of over £12 million to repair and improve the highways network, including £275k for the creation of a reactive pothole repair team, over £7 million to increase the scale of moderation works to Council

housing stock and £1 million to deliver a building insulation programme.

8. This report focuses on the savings and growth proposals specific to the Leader and Finance & Performance portfolios. Annex 1 provides details of savings proposals and annex 2 provides details of growth proposals. Annex 3 provides feedback from the public consultation relevant to this portfolio. Annex 4 provides details of new schemes for inclusion in the overall General Fund Capital Strategy. HRA Capital proposals are included in the Housing and Safer Neighbourhoods decision session report.

Recommendations

9. The Executive Members are asked to consider, in the context of the overall budget;
 - The 2020/21 revenue savings proposals for their portfolio as set out in annex 1
 - The 2020/21 revenue growth proposals for their portfolio as set out in annex 2
 - The feedback from consultation for their portfolio as set out in annex 3
 - The new schemes for inclusion in the Capital Strategy as set out in annex 4

Reason: To ensure that stakeholders have the opportunity to feed into the budget process in advance of the finalisation of the Financial and Capital Strategy 2020/21 to 2024/25.

Background

National Context and Funding Issues

10. All aspects of the public sector are continuing to face challenging times. In recent years the council has had to deal with large reductions in funding, combined with a range of significant pressures.

11. The Spending Review 2019 (SR19) announced in September 2019 is a one year only review for 2020/21. The main feature was the announcement that social care funding will remain at existing levels plus an additional £1bn nationally. In addition, business rates pilot will revert to the 50% retention system.
12. The provisional settlement was announced on 20 December. It is expected that the final settlement will be announced in February, but it is unlikely to differ significantly from the provisional figures.
13. A multi year review is expected be announced next year for 2021/22 to 2023/24 which will provide greater medium term certainty.
14. Significant uncertainty remains due to the ongoing 'fair funding' review, the ongoing review of business rates retention and details of the business rates baseline reset.

Local issues and challenges

15. Locally demand for council services continues to increase, with an ageing population and increased complex needs in respect of elderly care. There are also significant challenges in the health sector, including challenging financial positions for health partners which are in turn a significant financial risk to the council. In addition, inflation is driving costs up, and there is continued pressure on many of the council's income budgets.
16. Whilst devolution of business rates presents opportunities for the council, there are also associated risks with business rates appeals.
17. The major capital programme the Council is embarking upon brings with it some significant risks.
18. The Council made a climate emergency declaration, which was supported by all political groups. Since then the Council have supported the motion to declare a region-wide climate emergency covering the West Yorkshire Combined Authority (WYCA) region. This declaration will support the region's ongoing commitment to achieve carbon neutrality and deliver the new Energy Strategy and Delivery Plan (ESDP), which aims to drive forward clean growth.
19. Ensuring that there is the capacity to invest in council priorities has been a critical part of the budget deliberations.

Medium Term Financial Strategy and approach to savings

20. The medium term financial strategy focuses on delivering efficiencies across all areas. Ensuring that there is the capacity to invest in key priority areas has been a critical part of previous budget decisions and will need to continue in the future.
21. This strategic approach ensures that any cross cutting implications are taken into consideration and savings in one particular area do not impact on other budgets in an unintended way.
22. All directorates were asked to consider the long term implications of a 2% per annum reduction in their controllable budgets over a 4 year period from 2020/21 to 2023/24, in the region of £4m in each financial year. This included an assessment of options, risks, and links with Council priorities.
23. Specific details of future year's savings proposals will be covered in future budget reports. The eventual scale of savings that are required over the next 4 year period will be driven by the outcomes of the future spending reviews, alongside the extent to which spending pressures affect the council. Looking beyond 2020/21 is difficult given the wide range of uncertainties.

Principles that have shaped the budget process

24. The budget setting process has taken into account the following issues;
 - i. Consideration of the 2019/20 position.
 - ii. Consideration of unavoidable cost increases, priority areas, how to create the capacity in priority areas and creating the capacity to allow for service improvement and innovation.
 - iii. Consideration of reductions in grant funding.
 - iv. Ensuring that the budget is robust and prudent and is based upon the strategic financial advice of the Head of Corporate Finance and Commercial Procurement as s151 officer.

- v. Ensuring there is a strong link between the capital and revenue budgets and that the delivery of priorities fully considers the two budgets hand in hand.
- 25. It is critical that the council continues to support a strong local economy, recognising the significant financial benefits in the form of retained business rates, and creation of jobs. Ensuring that there is a strong link between the capital and revenue budgets to support the delivery of council priorities is essential.
- 26. There is a growing imperative for all councils to respond to the climate emergency and look for opportunities to develop the circular low carbon economy as part of their action to deliver council priorities.
- 27. Many councils across the country are now experiencing very severe financial challenges. Whilst the challenges for this council are significant, through sound financial planning, and in year management, the council retains strong financial health, and continues to be able to make significant strategic investments. In response to a shift in demand led expenditure pressures and reductions in grant funding, the council is taking steps to enable itself, residents and communities to work together as equal partners to meet their future needs and priorities.
- 28. The Capital Strategy report in February will set out proposals for further major investment in a variety of schemes. These continue the council's approach to prioritise investment in the economy, housing, transport, and to invest to save including energy efficiency. All the general fund capital budget proposals are included in this Decision Session report.

Consultation

- 29. The council is consulting with residents and businesses to identify the services that matter most to them and to understand their priorities for spending the council budgets.
- 30. The budget consultation launched on 15 November 2019 and closes on 12 January 2020 for paper surveys and closed at midnight on 31 December 2019 for online surveys.
- 31. The consultation replicated a number of question sets from previous years on council tax and the social care precept, to allow us to track feedback.

32. The consultation was promoted to residents through various existing channels via the Business Intelligence team, published on the council's consultation page, and promoted via the communications teams. Paper copies of the survey were available at council buildings and 3rd party premises across the city.
33. The online survey was promoted;
- Within the business community via existing business network links and distribution groups such as York Business Week, Make It York, BID, York Chamber of Commerce, York Federation of Small Businesses and asking them to pass on to their members.
 - To equalities groups via the equalities network.
 - Our City was distributed to all households in the City in December, with delivery taking place over a two week period. The question set shown in Our City was the same as the online budget consultation, but with a reduced number of equalities questions. As with the distributed paper copies of the budget consultation, responses were sent back via Freepost to West Offices.
34. All views and data gathered during the consultation will in due course be published on the York's open data platform www.yorkopendata.org
35. Annex 3 provides details of consultation feedback relevant to this portfolio.

Council Plan

36. The Council Plan for 2019/2023 is based on the statutory responsibilities and the priorities of the Council. The plan is structured around 8 core outcomes, which in turn reflect the key components of a good quality of life for our residents. These are:
- **A Greener and Cleaner City** – York's environment is protected and enhanced through investment in the Council's frontline services working towards becoming a carbon neutral city by 2030
 - **Good Health and Wellbeing** – Every resident enjoys the best possible health and wellbeing throughout their life
 - **A Better Start for Children and Young People** – Families, carers and schools are supported so that every child and young

person has the opportunity to develop, learn and achieve their aspirations

- **Well-paid jobs and an inclusive economy** – High skilled and better paid jobs in sustainable businesses, providing opportunities for all York's people in an inclusive economy
- **Getting around sustainably** – More people chose to travel by public transport, walking or cycling, benefiting from improved roads, footpaths and cycle routes across the city, cutting congestion, pollution and carbon emissions, as part of renewed efforts to tackle the climate emergency
- **Creating homes and world-class infrastructure** – The right housing is available, affordable and environmentally sustainable for everyone with good quality infrastructure that supports community and local businesses
- **Safe Communities and culture for all** – Residents live safe from harm as part of strong and vibrant communities, participating in their local area and have access to a range of quality cultural activities
- **An open and effective Council** – We work as an efficient, open, transparent, democratically-led and accountable organisation, in partnership with key stakeholders, to deliver on residents priorities and achieve the council plan outcomes for our city

37. The plan focuses on outcomes rather than just on the services we provide, to help the Council and our partners work better together, rather than as a collection of individual services and activities.
38. The plan was formally approved by Council on 31 October 2019 following consultation with residents, businesses and staff.
39. The budget reflects the Council priorities with significant revenue and capital investment in a number of critical areas, as outlined in the summary of this report.

Options

40. Annex 1 sets out the savings proposals for the Leader and Finance & Performance portfolios for 2020/21. The figures included in the column 2021/22 impact show the full year/ ongoing impact of decisions taken in 2021/22. Annex 2 sets out the growth proposals and annex 3 sets out the consultation responses for these portfolios only. Annex 4 sets out the new capital schemes.

41. The options available to the Executive Members are;
- Option 1 - to retain the savings and growth proposals as set out in annex 1, 2 and 4
 - Option 2 – to add, delete or amend proposals, noting that any adjustments made must have a net nil effect with reference to the overall budget.

Analysis

42. The service areas within these portfolios deliver a range of professional support (finance, legal, HR), the Councils ICT infrastructure, a wide range of customer services (customer centre, benefits,) and collects income (council tax, NNDR, service related issues e.g. adult care). The majority of services are non statutory, though there are some functions such as production of the accounts, production of a budget which are statutory responsibilities. There are also functions such as benefits, collection of council tax/NNDR which are also statutory activities.
43. Savings will continue in same approach as previous years, although looking to protect some of the key statutory areas mentioned above.
44. Since the main cost within these service areas is staffing, a large proportion of savings will be delivered through restructures within services. This will have varying issues and implications, but will inevitably result in fewer staff being employed and a need to consider how service delivery can be prioritised with a view to managing staffing reductions. This should be achieved through the use of technology to drive out efficiency and a focus on improved procedures and greater web based solutions around customer services.
45. It should be noted that having delivered significant savings in these areas in recent years it is inevitable that some areas will become more stretched in terms of resources. The need for support services is actually increased during a period of significant change/transformation. There may be a need to consider the provision of specialist support work on major projects purely on a chargeable basis.

One Planet/ Equalities

46. An assessment will be completed on the overall impact of the budget proposals using the Better Decision Making Tool. This will be published in the budget report to Executive in February. The impact assessment considers risks associated with savings proposals to ensure any negative impact for a particular group, sector or community is eliminated or counterbalanced. Decisions taken will also consider the impact on the Councils carbon budget alongside benefits such as improvements to service users or a reduction in energy costs.
47. The financial strategy will impact on all residents and has carefully considered the local demand for services whilst also ensuring the budget set is prudent, protects vulnerable people and has capacity to invest. The strategy could have a negative impact on the following communities;
 - Age
 - Disability
 - Gender
 - Carers
 - Lower income groups
48. This negative impact can be mitigated by investment targeted to these same communities. The key approaches to achieving savings whilst avoiding impacts on communities of identity include;
 - Ensuring that savings are made from back office functions and universal services
 - Protecting statutory services and other key services for vulnerable residents
 - Increasing community involvement in service redesign and delivery
 - Making services self-financing wherever practicable, including external trading
 - Maximising the return from externalised service provision
 - Redesign of existing services and external contracts
 - Placing a focus on prevention and ceasing service provision only where this is least impact
 - Streamlining services to provide focussed support and reduce areas of duplication
 - Supporting carers
 - Integrated working with health
 - Focussing growth where it is expected to have a positive effect on older or disabled people and their carers

Specialist Implications

49. This report has the following implications;

Financial

50. The financial implications are contained within the body of the report.

Human Resources (HR)

51. The savings proposals contained within the overall budget will require the reduction of some posts in 2020/21. Further details will be provided in the February report to Executive.

52. As implementation plans to achieve these post reductions are produced the specific staffing implications will be clear and staff consulted on the proposals. The type of change affecting staff in 2020/21 is likely to be a mixture of post reductions and working for redesigned services, some of which may no longer be delivered by the council.

53. The HR implications of change are managed in accordance with established council procedures. As part of this process consultation with trade unions and affected staff will continue to be undertaken and every opportunity will be explored to mitigate compulsory redundancies, such as vacancy controls, flexible working, voluntary redundancy / early retirement and extended redeployment. Where consideration is being given to the transfer of services to another provider TUPE will apply which will protect the terms and conditions of employment of transferring staff.

54. A programme of support for staff who are going through change is in place which will help staff adapt to changes to the way they will need to work or to prepare for a move into a new role.

Legal

55. The contents of this report are for information only at this stage. The legal implications of the budget setting process will be covered in detail in the report to Executive in February.

Risk Management

56. An assessment of risks is completed as part of the annual budget setting exercise and will be reported in the February report to Executive. These

risks are managed effectively through regular reporting and corrective action being taken where necessary and appropriate.

Contact Details

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Technical Accountant

Chief Officer Responsible for the report:

Debbie Mitchell
Head of Corporate Finance and
Commercial Procurement

Report
Approved

Date 3/1/20

Wards Affected: List wards or tick box to indicate all

All

For further information please contact the authors of the report

Background Papers:

Budget Consultation available at www.yorkopendata.org

Annexes:

1 – 2020/21 Savings Proposals for Leader and Finance & Performance portfolios

2 – 2020/21 Growth Proposals for Leader and Finance & Performance portfolios

3 – Consultation feedback for Leader and Finance & Performance portfolios

4a and 4b – Capital Strategy 2020/21 to 2024/25 – Details of new schemes

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Annex 1 2020/21 Savings Proposals for Policy, Strategy & Partnerships, Finance & Performance

Ref	Portfolio	Proposal Description	2020/21 Impact £000	2021/22 Impact £001	Total Saving Impact £'000
ECONOMY AND PLACE					
EP3	Finance & Performance	Property Management 2% income growth from the Council's commercial assets	(75)		(75)
			(75)	0	(75)
CUSTOMER AND CORPORATE SERVICES					
CCS1	Policy, Strategy & Partnerships	Communications Savings to be delivered through consolidation of budgets. -All Communications, marketing and project engagement (resident facing) resource and budget is centralised - Restructure of the consolidated team and realignment of resources to Directorates in line with LA operating model. - Advertising/publicity budgets are consolidated, spend is controlled and reviewed for cost reductions - Spend on statutory notices is recorded separately and matched to income. - Restructure of posts within the team	(24)		(24)
CCS2	Policy, Strategy & Partnerships	Democratic Services Delete palantypist budget as this service is not currently required	(35)		(35)
CCS3	Policy, Strategy & Partnerships	Legal Services Savings will be delivered from staffing efficiencies and reduced external legal fees	(15)		(15)
CCS4	Finance & Performance	Benefits and Transactional Services Savings will mainly be delivered from increased income generation, including the implementation of a more proactive inspection regime. Income from the Court of Protection work will also be increased, due to increasing volumes of cases. There is also an option to reduce staffing in the Housing Benefit team which is linked to the review of Local Council Tax Support	(32)		(32)
CCS5	Finance & Performance	Business Intelligence Continuing the consolidation of statutory data and reporting activity across the organisation as well as: • Increased income from external sources; • Automation of existing processes allowing staff reduction; • Using Innovation grant funding; • Small reduction in staff on-costs	(16)		(16)
CCS6	Finance & Performance	Customer Services • Reduction in staffing through channel shift • Customer strategy review	(40)		(40)
CCS7	Finance & Performance	Health and Safety A review of the shared service agreement with NYCC has been undertaken and a post reduction within the team is achievable. As a result CYC can negotiate a reduced contribution to the Shared Service.	(8)		(8)
CCS8	Finance & Performance	ICT A number of options will deliver the ICT savings: • Capitalise all staff working 100% on capital funded projects • Managed Service Agreement • Shared service business as usual options with HBC • Income opportunities • Reduce the ICT service window	(50)		(50)
CCS9	Finance & Performance	Bereavement Services Additional income anticipated from 2.5% fee increase	(50)		(50)
CCS10	Finance & Performance	Registrars Additional income anticipated from 2.5% fee increase	(10)		(10)
CCS11	Finance & Performance	Customer Experience and Digital Additional non-statutory Income generation opportunities; particularly within the Registrars and Bereavement services.	(10)		(10)
CCS12	Finance & Performance	Finance and Procurement A number of small supplies budgets will be reduced (£15-20k). A review of the capital programme finance support will be completed to enable appropriate costs to be recharged in future years.	(50)		(50)
CCS13	Finance & Performance	Business Support and Payroll The HR/Payroll System replacement is underway, with a decision due to be made in March 2020. The new system will delivery efficiencies across HR and Payroll admin.	(100)		(100)

Ref	Portfolio	Proposal Description	2020/21 Impact £000	2021/22 Impact £001	Total Saving Impact £'000
CCS14	Finance & Performance	Facilities Management • Reduction in Water management/ Asbestos budget (£30k) • Increase in partner rent income through annual rent reviews (£35k) • Additional income target on cleaning services (£5k)	(70)		(70)
CCS15	Finance & Performance	Property Commissioning and Design A wider review of Commissioning & Design services will be undertaken to deliver savings.	(20)		(20)
CCS16	Finance & Performance	Print Budget Print budget review to reduce printing costs council wide	(50)		(50)

(580)	0	(580)
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Corporate Services

CORP1	Finance & Performance	Making Best Use of Council Assets Review of the commercial portfolio to dispose of less profitable assets and invest in higher yield assets. Over the next 4 years opportunities will be taken to deliver increased commercial investment and it is envisaged savings in the region of £0.5m may be achievable over a 4 year period.	(50)		(50)
CORP2	Finance & Performance	Council Wide Cross Cutting Saving Opportunities will be taken in coming years to utilise the new Grade 13 grade, with potential to create more efficient and effective structures across the Council. It is envisaged as part of this there will be some efficiencies that arise, however this proposal does not envisage any large	(20)	(80)	(100)

(70)	(80)	(150)
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Total Savings	(725)	(80)	(805)
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Annex 2 - Growth Proposals 2020/21 for Policy, Strategy & Partnerships, Finance & Performance

Dir	Portfolio	Council Priority	Growth Proposal	2020/21 £001	2021/22 £000	Total £000
Pay and Prices Growth						
CORP	Finance & Performance	An open and effective Council	Pay Award and Pension - Based on 2% pay award	2,000		2,000
CCS	Finance & Performance	An open and effective Council	Prices contingency - Customer & Corporate Services	250		250
Unavoidable Budgetary Pressures Growth						
CCS	Policy, Strategy & Partnerships	An open and effective Council	Increase to Member Allowance and additional portfolio cost	141		141
CORP	Finance & Performance	Creating homes and world class infrastructure	To fund prior years increase to the capital programme	1,500		1,500
Priority Investment						
CCS	Finance & Performance	An open and effective Council	Investment to provide permanent funding to support the ongoing apprenticeships activity within HR.	77		77
TOTAL Recurring Growth				3,968	0	3,968
One off Growth						
CORP	Finance & Performance	An open and effective Council	Funding to undertake an organisational development programme to ensure delivery of key Council priorities	80	30	110
TOTAL One off Growth				80	30	110

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City of York Council



Budget Consultation 2020/21

SUMMARY

The online Budget Consultation 2020/21 opened on 15/11/2019 and closed on 31/12/2019. Additionally, a short version of the survey was sent to households inside the Our City publication, with a closing date of 12/01/2020. The responses received up until 31/12/2019 have been included in this report.

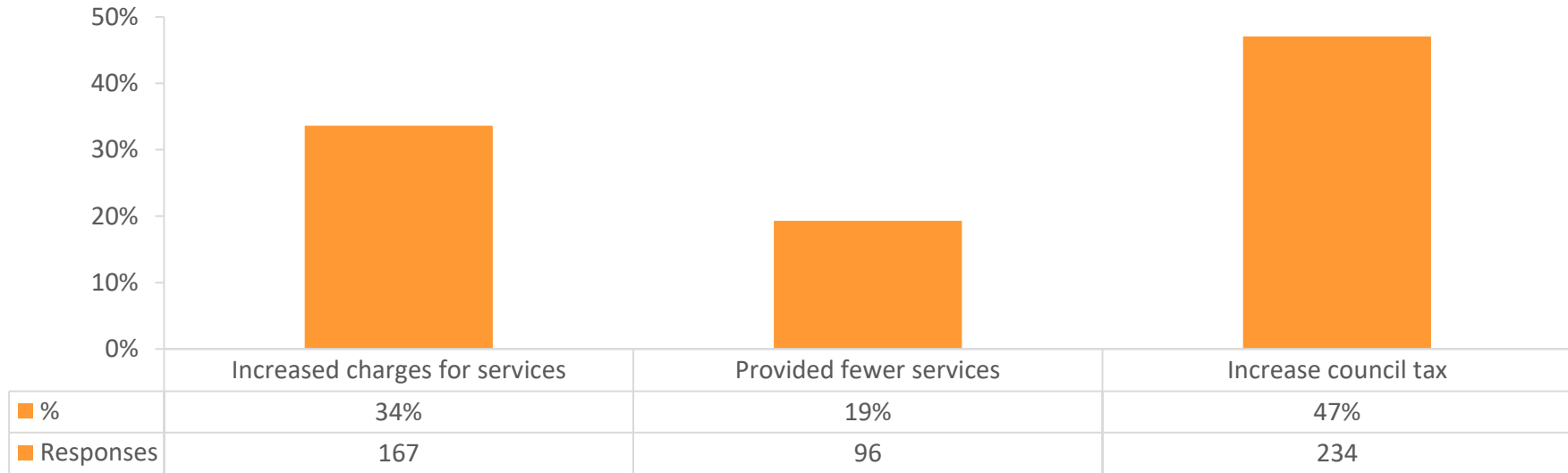
A total of 691 residents and businesses participated.

The consultation gave the residents and businesses of York the opportunity to put forward their views to help the council make decisions and deliver the budget for 2020/21.

The consultation results and the raw data will be made available on the council open data platform www.yorkopendata.org.

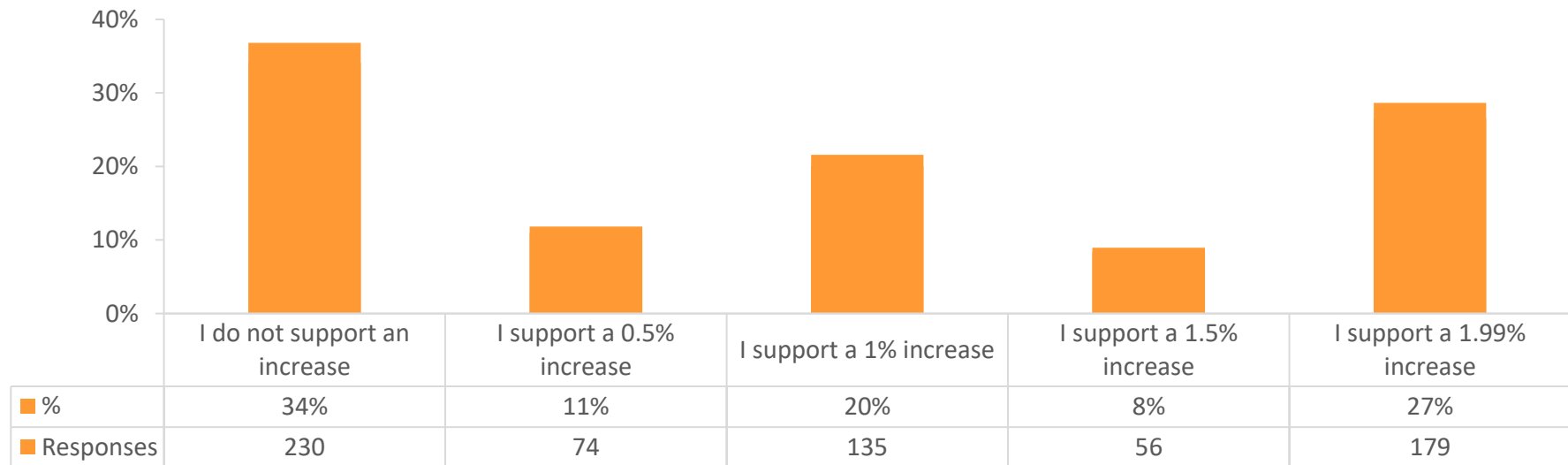
Council Tax

In order to balance the budget, would you rather we; (please tick as many as apply)



(n=521)

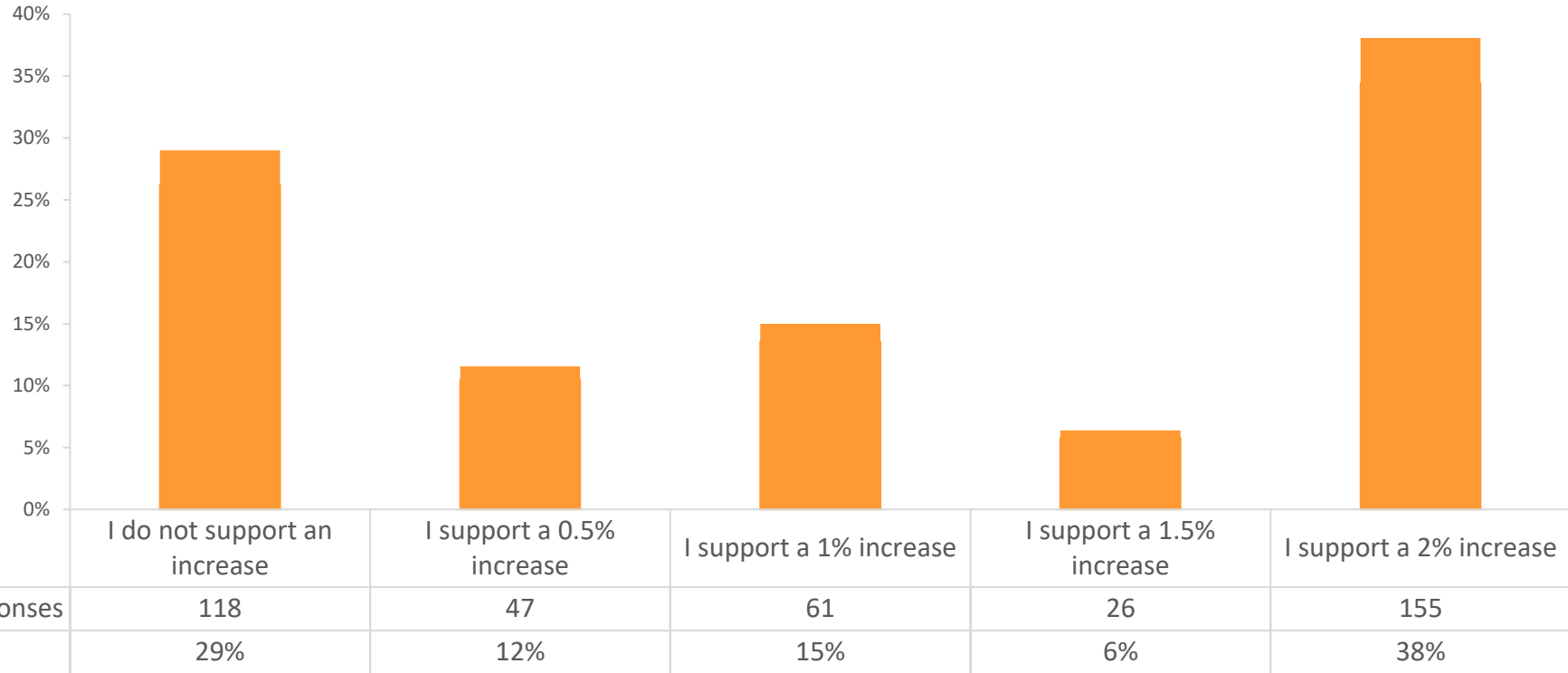
Do you support an increase in council tax to balance the budget and if so by how much?



(n=674)

Social Care Precept

Do you support an increase in funding for adult social care by social care precept and if so by how much?



(n=407)

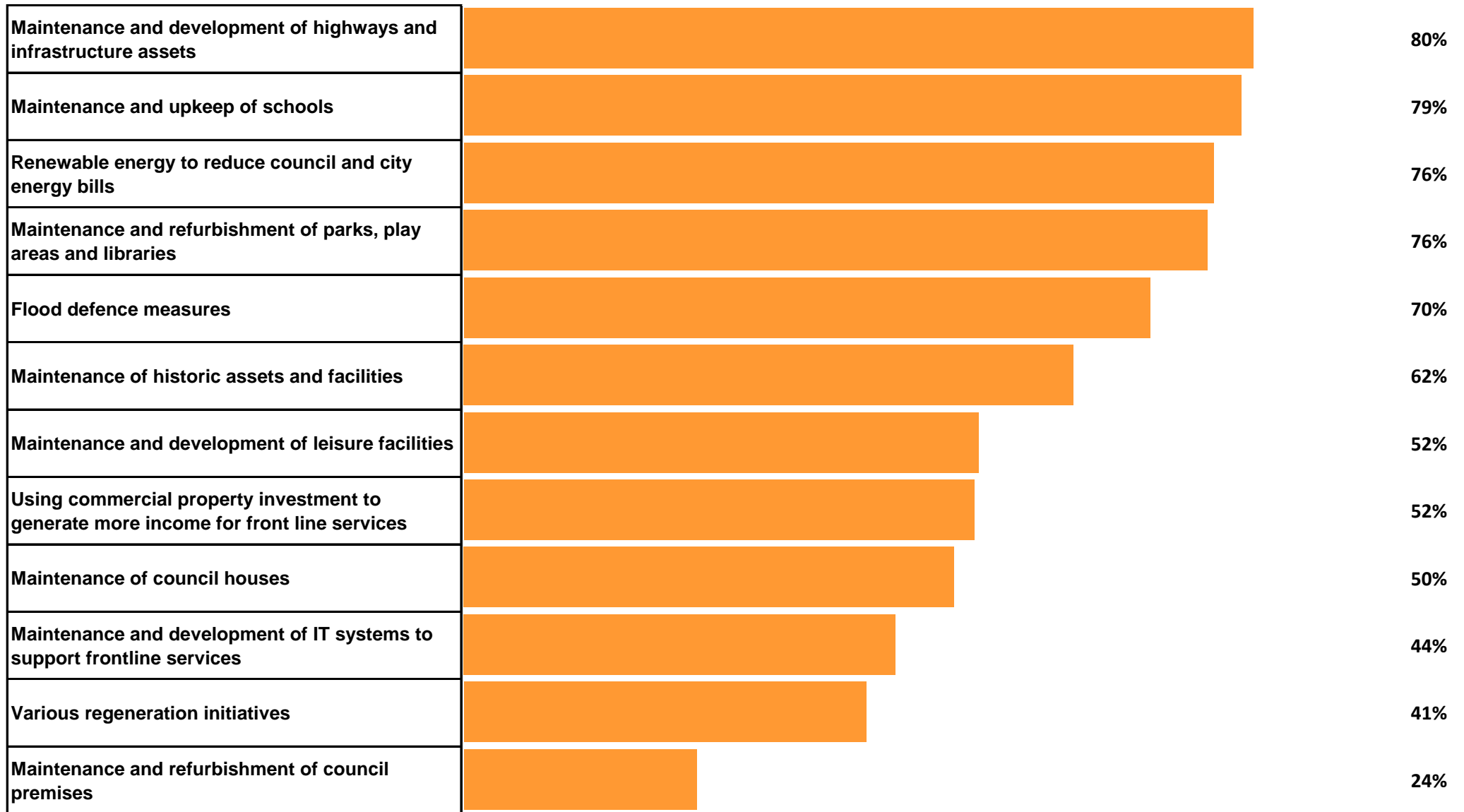
Capital Investment

To what extent do you agree or disagree that we should prioritise the following areas for investment?

	Disagree	Disagree (%)	Agree	Agree (%)	Neither	Neither (%)
Maintenance of council houses	119	24.29%	244	49.80%	127	25.92%
Maintenance and development of highways and infrastructure assets	46	9.31%	396	80.16%	52	10.53%
Maintenance and refurbishment of council premises	185	38.07%	115	23.66%	186	38.27%
Maintenance of historic assets and facilities	71	14.46%	304	61.91%	116	23.63%
Maintenance and refurbishment of parks, play areas and libraries	46	9.37%	371	75.56%	74	15.07%
Maintenance and development of leisure facilities	96	19.75%	254	52.26%	136	27.98%
Maintenance and upkeep of schools	36	7.29%	390	78.95%	68	13.77%
Maintenance and development of IT systems to support frontline services	101	20.70%	214	43.85%	173	35.45%
Using commercial property investment to generate more income for front line services	94	19.50%	250	51.87%	138	28.63%
Various regeneration initiatives	89	18.86%	193	40.89%	190	40.25%
Flood defence measures	53	10.84%	341	69.73%	95	19.43%
Renewable energy to reduce council and city energy bills	61	12.42%	374	76.17%	56	11.41%

Capital Investment

Areas respondents agree should be priorities for investment



Answering this survey:

Ward	Responses
As a resident	667
On behalf of a business	7
On behalf of a public sector organisation	5
On behalf of a charity that covers the York area	3
On behalf of a community group in York	0
Other (please specify)	9
Total	691

Overall response to survey by ward

Ward	Responses	Responses (%)
Acomb Ward	26	3.78%
Bishopthorpe Ward	9	1.31%
Clifton Ward	21	3.06%
Copmanthorpe Ward	<5	-
Dringhouses & Woodthorpe Ward	25	3.64%
Fishergate Ward	16	2.33%
Fulford & Heslington Ward	10	1.46%
Guildhall Ward	38	5.53%
Haxby & Wigginton Ward	20	2.91%
Heworth Ward	20	2.91%
Heworth Without Ward	11	1.60%
Holgate Ward	29	4.22%
Hull Road Ward	8	1.16%
Huntington & New Earswick Ward	17	2.47%
Micklegate Ward	35	5.09%
Osbaldwick & Derwent Ward	15	2.18%
Rawcliffe & Clifton Without Ward	19	2.77%
Rural West York Ward	8	1.16%
Strensall Ward	13	1.89%
Westfield Ward	33	4.80%
Wheldrake Ward	10	1.46%
Unknown	304	44.25%

Results can be split by ward but due to low numbers may not be statistically significant

Demographics

Age

Answer choices	Responses	Percentage of total response
Under 16	0	-
16-24	7	3%
25-39	56	27%
40-55	71	34%
56-59	18	9%
60-64	19	9%
65+	36	17%
Prefer not to say	26	-
Total	233	

Carer

Answer Choices	Responses	Percentage of total response
Yes	21	10%
No	188	90%
Prefer not to say	24	-
Total	233	

Disability

Answer choices	Responses	Percentage of total response
Yes	32	16%
No	172	84%
Prefer not to say	30	-
Total	234	

Disability type (select all that apply)

Answer choices	Responses	Percentage of total response
Physical impairment	10	4%
Sensory impairment	6	3%
Mental health condition	12	5%
Learning disability	<5	-
Long-standing illness or health condition	21	9%
Total	32	

Served in the Armed Forces

Answer Choices	Responses	Percentage of total response
Yes	12	6%
No	193	94%
Prefer not to say	28	-
Total	233	

Gender

Answer choices	Responses	Percentage of total response
Male	103	55%
Female	81	44%
Gender variant / Non binary	2	1%
Prefer not to say	47	-
Total	233	

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Annex 4a Capital Budget 2020/21-2024/25

Summary

1. This report provides details of the schemes that are proposed to be taken forward to Executive in February 2020 as part of the Capital Budget over the next five years.
2. Annex 4b shows the current approved capital programme with any new schemes in bold.
3. The schemes fall into the following main categories:
 - New Schemes - 100% CYC funded **Table 1**
 - New Schemes – part externally/ part CYC funded **Table 2**
 - Existing rolling programme scheme - typically 1 yrs extensions in 2024/25 or amendments to existing approved schemes **Tables 3&4**
 - Housing Revenue Accounts (HRA) funded including rolling programme **Table 5**
4. The remaining schemes within the existing capital programme as per Monitor 2 is summarised in **Table 6**.
5. A summary of the total schemes, and existing capital budget split by Funding type can be found at **Table 7**.
6. Schemes funded by CYC Prudential borrowing have an associated revenue impact. The revenue growth implications of such schemes are summarised in **table 8**.
7. Further details on each of the new schemes can be found split by type below.

New Schemes (Table 1 all requesting funding through corporate prudential borrowing)

8. **Proof of concept for robotics & AI within social care**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
100	100	-	-	-	200

9. Robotics has been suggested as a way to help improve the quality of social care in the UK and to manage increasing pressures on services. Robotics is a broad field covering different aspects of the use of robots that can operate with varying levels of autonomy and machine learning technologies. Using robotics could reduce social care costs by enabling residents to stay in their homes longer, preventing hospitalisation through falls and reducing staffing costs by automating a greater number of tasks. This scheme proposes a 18-month proof of concept for a select number of robotics within adult social care. A number of Internet of Things (IoT) trials are happening across the council, and York has the opportunity to become a leading provider in this area with sensors and robotics within adult social care.

10. **Access Control Asset Replacement**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
230	-	-	-	-	230

11. This scheme consists of a replacement of the access control measures at Bishophill. The existing access control system (rising bollards) is life-expired and has irreparably failed. This has limited access to the area for residents who previously used this access point.

12. Feasibility work has already been undertaken to determine the best solution for this location. Options considered include installing a like-for-like replacement of rising bollards; removal of restrictions and improvements to public realm; and a partial closure with varied access restrictions. The final solution will be subject to a decision by the Executive Member for Transport.

13. These funds will cover the capital costs of undertaking the civil construction, technology installation, archaeological related tasks, as well as the project management costs associated with implementation. If the chosen solution includes a technology element (rising bollards) there will be a requirement to procure a maintenance contract to ensure continued operation for the life of the asset.

14. **Adaptions to Foster Carer Homes**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
100	-	-	-	-	100

15. This scheme relates to “connected carers” where relatives take on care for a child within their extended family. This funding will allow any necessary adaptations to be made to a home to enable a child or young person to be accommodated with family members instead of being placed in a foster or other care home.

16. **Improving School Accessibility**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
500	-	-	-	-	500

17. The scheme is designed to address accessibility issues in York maintained schools that are operating in historic buildings and are unable to meet their responsibilities under the Equality Act, 2010. If left unaddressed the local authority is likely to be subject to discrimination claims from the families of children with special educational needs and/or disabilities who may be unable to access the school of their choice.
18. This is subject to a further report being brought back to Executive later in the year setting out the detailed proposals of the scheme.

19. **Registry office Phase 2 refurbishment**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
80	-	-	-	-	80

20. Phase 1 of the Register Office refurbishment was completed in March 2018 but has left it with some areas unmodernised. Changes were made to make better use of the space to accommodate staff and to improve facilities for our customers who use the Register Office for ceremonies and to register life events.
21. The larger of our ceremony rooms was unchanged and is now looking tired compared to the refurbished rooms. The toilet facilities have not been updated, do not reflect the high standard expected from a respected ceremony venue and have prompted several negative comments. A number of repairs have had to be carried out leaving the facilities temporarily unavailable on occasion. In addition, the facilities in the interview rooms where families attend to register births, deaths and give notice of marriage were only partially updated.
22. The repository, for which the service has a statutory duty to store records safely and securely, holds civil registers dating back to 1837. These records need to be accessed daily in order to produce copy certificates of births, deaths and Marriages. The repository is running out of space and the shelving is starting to collapse in places. Changes to marriage legislation which will be introduced at the end of 2019 mean that registers from all York churches and chapels will need to be collected and stored in the same repository. This will increase the problem but could be solved with a new, built for purpose racking system, making optimum use of the available space.

23. **Waste Vehicle Replacement**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
6,600	-	-	-	-	6,600

24. The purpose of this scheme is to highlight the need for renewal of the refuse collection vehicle within the waste service ensuring the service has a sustainable fleet that maximises value for money and remains environmentally and energy efficient.
25. It is proposed that at least three of the replacement vehicles purchased are electric powered. The technology for Electric Vehicles in Refuse Collection is still in its infancy and therefore there is too great a risk in upgrading the whole fleet to electric. The performance of the electric vehicles will be monitored carefully in advance of future purchases. The investment includes upgrade to facilities at Hazel Court to provide charging point infrastructure for the vehicles.
26. This is subject to a further report being brought back to Executive later in the year setting out the detailed proposals of the scheme

27. **Electric Vehicle (EV) Charging Asset Replacement**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
635	-	-	-	-	635

28. The Council's electric vehicle charging asset is life-expired and has therefore become unreliable and difficult to maintain. This scheme involves replacing life-expired charging points with new installations at the same location. It is proposed to replace the existing EV charging points at the following locations:
- Askham Bar Park and Ride
 - Bootham Row Car Park
 - Grimston Bar Park and Ride
 - Monks Cross Park and Ride
 - Nunnery Lane Car Park
 - Poppleton Bar Park and Ride
 - Rawcliffe Bar Park and Ride
 - York Designer Outlet
29. A total of 17 charging points will be replaced at these 8 locations. The new charging points will not only be more reliable and maintainable, but they will feature modern connectivity options, increasing the availability of the charging points to more users. £235k is the sum required to replace these assets, including installation of technology, updating back office systems,

refreshing appropriate signage, project management costs, and other associated costs.

30. In addition to the replacement of life expired assets, an amount of £150k is proposed to enable the expansion of charging provision at Grimston Bar Park and Ride site. This sum would cover the infrastructure required to power new charging points at this location.
31. A further £250k is proposed to expand charging provision at locations yet to be identified through the upcoming 'Electric Vehicle Charging Strategy'. This sum would enable early implementation of charging points in key areas to support the delivery of this strategy in its first year. It is envisaged that further funding will be sought from a variety of sources to support the upcoming strategy beyond 2020/21. Assigning this funding enables an immediate start to achieving the goals of this strategy in 2020/21.

32. **A19 Flood Alleviation enhancement**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
48	-	-	-	-	48

33. It is proposed to purchase a dedicated pump to manage surface water flooding issues on the A19 to complement the flood alleviation works delivered in the area. The Council has worked closely with developers to develop flood alleviation works on the A19 at Germany Beck Fulford. The works have been delivered by the developer through the arrangement of retaining walls and highway features developed during the works to construct the access road into the housing development.

34. **Flood Sign Renewal and Rainfall monitoring**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
200	-	-	-	-	200

35. The council has a statutory responsibility as Lead Local Flood Authority (set out by the Flood and Water Management Act 2010) and under the Civil Contingencies Act 2004 in warning and informing the public about the risks of

flooding. The 2015 independent York Flood Inquiry reemphasised the necessity of this requirement.

36. This scheme links in with engagement work undertaken by the council's Emergency Planning Unit and the ongoing flood mitigation works by the Environment Agency's Flood Alleviation Scheme.
37. The scheme provides funding for procuring:
 - a selection of electronic flood signs,
 - renewing mounted signs which are broken or are not viable due to Health & Safety,
 - converting all temporary signs into mounted signs, and
 - installing a small number of flood signs at new locations
38. The council's Surface Water Management Plan outlines the need to better understand the patterns and impacts of surface water flooding within the city. Linking in with the ongoing CYC maintenance programmes provided by gully cleansing and Yorkshire Water's maintenance of their sewerage infrastructure, and the existing surface water mapping layers provided by the Environment Agency, technology investment would complement an increased understanding into predicting and analysing the impacts of surface water.
39. More than 40mm of rain fell on large areas of the city in just over 15 minutes on the 13th August 2018, the intense nature of the storm led to significant areas of the city's road network being impacted by standing water and drainage systems were unable to cope. A section 19 report (under the Flood and Water Management Act) was approved by the Executive Member for the Environment documenting 123 affected sites including business, roads and residential properties. Records approximate that at least 37 residential properties were internally flooded and several businesses.
40. Part of the section 19 report was a recommendation of procuring a product to increase the council's ability to predict, respond to, and investigate a rainfall incident. Officers have determined that specialist hosted web browser based software, Meniscus MapRain would be the most beneficial in providing rainfall data, alerts, and reports.

41. **Northern Forest**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
600	600	600	600	600	3,000

42. This scheme is to enable purchase or utilise pieces of land, already in the council's ownership, that are suitable for planting trees. The budget would cover the cost of planting new trees and replacing diseased trees as the council's contribution to the White Rose Forest initiative. The White Rose Forest has 3 aspirations:

- Leadership for sustainable economic development,
- Social wellbeing
- Facing Climate change.

43. A York tree planting programme has the opportunity to contribute to all three Aspirations through large scale planting, investment in new street trees and responding to the changing environment e.g. Ash dieback.

44. **Castle Gateway**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
*	*	*	*	*	*

* figures subject to Executive approval in January 2020

45. The Castle Gateway masterplan will transform a large area of the city centre that has endured three decades of failed private sector proposals. Approved by the council's Executive in April 2018, the regeneration will create new high quality public realm and event spaces, significantly improve the setting of our heritage assets, improve cycle and pedestrian routes throughout the area, and reduce and consolidate car parking in to a modern multi-storey car park (MSCP) outside of the inner-ring road. A report scheduled for January 2020 will seek investment to fund the next stage of design at both the Multi Story Car Park and Castle Mills housing scheme and associated procurement. The Executive decision will be incorporated into the Capital Strategy Report considered by Executive and Budget Council in February 2020.

46. **Early Years Support Schemes**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
30	-	-	-	-	30

47. The purpose of this scheme is to support infrastructure and equipment purchase in early years settings.

48. **29 Castlegate**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
270	-	-	-	-	270

49. The project to renovate 29 Castlegate will restore a substantial council owned period property that has been vacant and is in need of repair to make use of such. The condition of the building both internally and externally is deteriorating whilst unoccupied. The premises is located adjacent to the Coppergate Centre in the city centre, close to occupiers such as Topshop and Fenwicks, as well as tourist attractions including Clifford's Tower.

50. Given the scale of investment, this will be subject to a further report to Executive or relevant Executive Member setting out the proposals, including consideration of the benefits and disadvantages of different uses of the building, including commercially and/ or for the community.

51. Utilising the property fully will serve to enhance the surrounding streetscape, bringing new business into the property/ area. The council's construction and design team estimate to carry out a refurbishment of the property to bring it to a lettable condition which would provide alterations to the office space to provide an optimal layout in the market would cost £170k, whilst a further £100k may be needed for structural works, although further investigation and monitoring needs to be undertaken. They also estimate that to bring the property into basic use a budget of £120k would be needed and again a further £100k, should be allowed for structural repairs.

52. **Hazel Court Amenities Block Drying room**

20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
100	-	-	-	-	100

53. The purpose of this scheme is to reconfigure existing internal accommodation to improve the staff welfare facilities within the Amenity Block by the provision of additional showers, drying and changing space

New Schemes (Part CYC funded, Part External funded)

CYC element asking for new Corporate Prudential borrowing

54. **York Theatre Royal**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
CYC funding request - borrowing	500	-	-	-	-	500
Externally Funded	250	250	-	-	-	500
New Scheme Total	750	250	-	-	-	1,000

55. CYC wrapped up its support over the previous 4 year period in a capital grant. This has been crucial to the theatre's survival allowing it to develop new revenue schemes, e.g. the renovation of the De Grey Rooms in order to provide a suitable environment for a wedding business; and the acquisition of new theatre lighting in order to allow the theatre to host the finest touring theatre productions available.

56. This grant would provide match funding for the Theatre's capital plans. These include significant Arts Council funding, which may at threat should the council be seen not to support the Theatre financially. This scheme will increase the theatre's accessibility, environmental sustainability and financial resilience. It will allow for major disabled access improvements across the site, and for green initiatives in theatre lighting and heating. It would also allow the theatre

to invest in box office software and equipment to ensure it is best-placed to maximise ticket revenue as public funding declines in real terms. This will improve the theatre's revenue performance and therefore its long-term sustainability (with once again no council revenue subsidy in the forthcoming 4 year funding period). It will also improve the theatre's environmental performance.

57. **National Centre for Early Music**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
CYC funding request - borrowing	25	-	-	-	-	25
Externally Funded	-170	-	-	-	-	170
New Scheme Total	195	-	-	-	-	195

58. This scheme is to support the National Centre for Early Music (NCEM) in taking forward a refurbishment of this important cultural venue. It will provide match funding that will lever in more substantial funding from the Arts Council England and other sources. The refurbishment will address the sustainability of the centre, reducing energy consumption, as well as updating IT systems in order to provide more effective controls for heating and lighting. The refurbishment will also provide improved access for disabled people. Finally, it will provide improved customer facilities, including upgraded catering / hospitality facilities, so that NCEM can continue to increase commercial income and thereby improve its financial sustainability.

Existing Rolling Programme Extensions/ Amendments

All Funded by Corporate Borrowing unless otherwise stated

59. **Fleet Equipment & Compliance – part a) – Hazel Court Weigh Bridge**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	50	-	-	-	-	50
<i>Existing Budget in Capital Programme</i>	100	100	-	-	-	200
<i>New Scheme Total</i>	150	100	-	-	-	250

60. This scheme is to provide a weighbridge which would allow drivers to weigh their vehicles when entering or leaving Hazel Court, in order to ensure that drivers can remain compliant when driving on the public highway.

61. It would also assist the workshops in the repair of vehicles in the way of calibrations which would mean faster vehicle repair times and less downtime as currently we are having to go off site to complete these calibrations.

62. There is also potential to bring in revenue to the council as this can be offered as a service to other organisations.

63. **Fleet Equipment & Compliance – part b) Vehicle Lift**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	42	-	-	-	-	42
<i>Existing Budget in Capital Programme</i>	100	100	-	-	-	200
<i>New Scheme Total</i>	142	100	-	-	-	242

64. This scheme is for the supply of an additional fixed asset vehicle lift capable of lifting laden refuse vehicle, this would double existing capacity in the workshops to allow for busy periods where multiple HGV MOT preparations are ongoing at the same time, as well as routine 6/7 week inspections. This would also serve to assist business continuity in the event of mechanical equipment breakdowns.

65. It would also enhance the mechanics capabilities to inspect the vehicles when prepping them for an MOT and create the same environment that a DVSA tester would do when testing the vehicles giving us the best possible chance to gain a first time pass. It would also create a more robust environment for vehicles to be safety inspected which again is a statutory obligation as promised to the Traffic Commissioner as part of being a large goods operator. Finally vehicle downtime would reduce as mechanics would not have to share or wait for vehicle lifts in busy periods.

66. **Highways Schemes – part a) (CYC Element)**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	1,000	1,070	1,170	4,420	5,170	12,830
<i>Existing Budget in Capital Programme</i>	<i>4,100</i>	<i>4,100</i>	<i>4,000</i>	<i>750</i>	<i>-</i>	<i>12,950</i>
<i>New Scheme Total</i>	<i>5,100</i>	<i>5,170</i>	<i>5,170</i>	<i>5,170</i>	<i>5,170</i>	<i>25,780</i>

67. The purpose of this scheme is to deliver a range of additional highway schemes including carriageway, footway and traffic signal renewal targeted to deliver wholesale repair and renewal of areas of the network to prevent further deterioration in condition. The scheme will present an opportunity to address highway maintenance needs in a planned and proactive way and address the current bias towards reactive response and repair, this updates and addresses the network maintenance requirements evidenced by the TAMP lifecycle analysis. With this investment the total investment for Highways for the next 5 years equates to £25,780k.

68. As part of the additional investment it is proposed to employ a further Reactive Pothole Team at a cost of c £275k to increase the number of potholes that can be repaired. This is subject to a further report being brought

back to the Executive Member later in the year setting out the detailed proposals of this scheme

69. Drainage

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	-	-	-	-	200	200
<i>Existing Budget in Capital Programme</i>	200	200	200	200	-	800
<i>New Scheme Total</i>	200	200	200	200	200	1,000

70. To continue funding the restoration of the Council's drainage infrastructure supporting the findings of the Surface Water Management Plan. This funding reflects the amount of work that can realistically be done using the available resources within the Council where there is extensive local knowledge

71. Gulley repair engineering works

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	700	700	700	700	700	3,500
<i>Existing Budget in Capital Programme</i>	250	-	-	-	-	-
<i>New Scheme Total</i>	950	700	700	700	700	3,750

72. A rolling programme of capital funded drainage survey and investigation works has led to significant improvements of the cities highway gullies and has provided detailed information to identify the condition of the assets and their long term maintenance needs.

73. It is calculated that more than £8m of investment will be needed over 12 years to address all defective assets on a priority/needs based approach, and the funding included is based on two additional works gangs and materials.

74. Bar Walls restoration programme part a) Presentation, lighting & Safety

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	76	76	76	36	-	264
<i>Existing Budget in Capital Programme</i>	300	300	300	300	-	1,200
<i>New Scheme Total</i>	376	376	376	336	-	1,464

75. This scheme will improve the presentation (and security) of the City Walls and engage wall users (and potential wall users) in the conservation needs of the nationally-significant ancient monument. The scheme will restore the system of locks on the City Walls, trial systems of pest management in the Bars and restore the historic decorative schemes of the armorials and heraldry at the Bars.

76. In addition, this scheme will deliver a visual language and tone of voice, designed in the spirit of CYC and the City Walls, to act as a catalyst for rationalising existing signage and to engage wall users (and potential wall users) with the City Walls and the work CYC does to care for them and open them to the public.

77. A plan has been developed to upgrade the existing Bar Walls floodlighting system to a sustainable and sympathetic, LED colour changing system. The proposed floodlighting system is bespoke and will be designed to complement to architectural and historic significances of the walls. The colour-changing nature of the system means that the Bar Walls could be lit in particular colours to celebrate or commemorate historic events or important days.

78. Bar Walls restoration programme – Part b) Presentation & Safety Station Ave Arch Eastbound

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	250	250	-	-	-	500
<i>Existing Budget in Capital Programme</i>	300	300	300	300	-	1,200
<i>New Scheme Total</i>	550	550	300	300	-	1,700

79. In Year 1 this scheme would fund investigation works to understand the deterioration of stonework at Station Avenue Arch (road arch eastbound) and to develop and deliver a programme of repairs and restoration. There is potential for the steelwork built within the arch to be corroding, causing an unsafe structure. There is no current allocation within the Bar Walls budget to deliver this project.

80. In Year 2 an estimate has been made based on the following assumptions; to be confirmed following the Year 1 investigation works: The steel can remain in situ and does not require wholesale replacement. Ad hoc stone removal/replacement, to allow for the replacement stone to be correctly bonded to the structure; taking up of the stone flags across the bridge and applying a waterproofing solution to the steelwork to prevent any further corrosion. Works may also include (subject to further investigation), a form of cathodic protection system to protect the existing steel.

81. Replacement of Unsound lighting columns

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	456	234	66	66	66	888
<i>Existing Budget in Capital Programme</i>	122	344	578	578	-	1,622
<i>New Scheme Total</i>	578	578	644	644	66	2,510

82. Street lighting columns all have manufacturer's recommended serviceable life in years at date of manufacture both concrete and steel.

83. City of York council have approx 19,000 street lights of various heights and construction of which approx 14,000 are steel and 1700 Concrete . The majority of the steel are age expired and all concrete are expired. Some of the steel have previously been repaired, which makes them unable to be structurally tested at points of the column which are prone to deteriorate.(i.e underground) Steel sign posts as per street lighting columns all have manufacturers recommended serviceable life in years at date of manufacture.

84. The scheme is to continue to reduce energy costs, improve the carbon footprint and upgrade the older technology lighting to achieve energy savings on an annual basis through installation of new steel columns with a 35 year life expectancy and fitted with an energy efficient LED lantern.

85. CCTV Asset replacement

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	157	157	-	-	-	314
<i>Existing Budget in Capital Programme</i>	-	-	-	-	-	-
<i>New Scheme Total</i>	<i>157</i>	<i>157</i>	-	-	-	<i>314</i>

86. This scheme is for the replacement of life-expired CCTV assets, such that the Public Space Surveillance operation can continue to function effectively.

87. Although a comprehensive maintenance contract is in place, all assets still have a limited life span and require replacing at their end of life. Such assets become unmaintainable and fail to operate. Without functioning CCTV systems, the Council cannot effectively deliver services to its residents and customers, including North Yorkshire Police.

88. 57 CCTV assets have been identified as being life-expired and in need of imminent replacement. This scheme involves a 3 year programme to replace these assets with a modern cost-effective solution that is capable of delivering the services aims. This funding will allow for their replacement in locations where the asset is still deemed to be required

89. **Asset Maintenance**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	30	30	30	30	250	370
<i>Existing Budget in Capital Programme</i>	220	220	220	220	-	880
<i>New Scheme Total</i>	250	250	250	250	250	1,250

90. There is currently a rolling programme capital scheme for health and safety repairs of £220k per annum with 4 years left to run. The previous year's allocations have been spent and the Council has a number of properties which have been identified as being retained for service delivery and/or delivering Council objectives, either directly or indirectly, through the Corporate and Community Asset Strategies and also individual service reviews.

91. A significant number of these properties have urgent health and safety repairs which will need to be carried out in the coming years to make them sustainable for future service delivery. The budget will also be used to cover the council's responsibility for closed churchyards.

92. There will also need to be substantial other work required to a proportion of these properties to refurbish or improve them to meet the service requirements but these works will be the subject of separate capital schemes as and when necessary. This scheme therefore is to increase the approved annual rolling programme by £30k and to extend it for a further year (£250K) to help fund these critical repairs to the retained buildings.

93. **Carbon Reduction – Energy Efficiency**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	-	-	-	-	250	250
<i>Existing Budget in Capital Programme</i>	250	250	250	250	-	1,000
<i>New Scheme Total</i>	250	250	250	250	250	1,250

94. This scheme is intended to complement other new or existing capital schemes in order to enable those schemes to deliver higher environmental benefits than may otherwise be the case. These schemes could include building of new homes, carbon reductions schemes benefitting existing property assets or specific projects such as Castle Gateway.

95. **Disabled Facilities Grant**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	-	-	-	-	475	475
<i>Existing Budget in Capital Programme</i>	475	475	475	475	-	1,900
<i>New Scheme Total</i>	475	475	475	475	475	2,375

96. This scheme is to continue to allow the payment of mandatory disabled facilities grants (DFGs) in line with statutory requirements (The Housing Grants, Regeneration and Construction Act 1996) and our policies last reviewed in July 2017. The DFG rolling programme enables older and disabled persons to remain safely in their own home and maximised their independence. The funding also ensures the delivery of the falls prevention service aimed providing early intervention in residents home looking to reduce the risk of falling by assessing the home, the environment and the person . Both programmes directly contribute towards the Better Care Fund objectives by preventing, reducing and delaying the need for NHS and Adult Social Care Services.

97. **Disability Support Budget**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	-	-	-	-	260	260
<i>Existing Budget in Capital Programme</i>	220	230	240	250	-	940
<i>New Scheme Total</i>	220	230	240	250	260	1,200

98. This extension request is to continue to provide assistance for disabled vulnerable customers who need financial help. The help comes through the form of grants and top up loans to help disabled vulnerable adults and parents with disabled children to provide cost effective adaptations in their homes to ensure that they continue to live and maintain their independence. The help covers the shortfall between the cost of the eligible work and the mandatory disabled facilities grant, or to purchase a more suitable home where it is more cost effective than to adapt the current home and the relocation expenses. Given the increasing number of elderly and the increasing life expectancy of children with complex needs there is a need to increase funding year on year.

99. The funding directly contributes the priorities of the BCF and Council by preventing, reducing and delaying the need for NHS and Adult Social Care Services.

100. **Major items of disability equipment**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	-	-	-	-	147	147
<i>Existing Budget in Capital Programme</i>	131	135	139	143	-	548
<i>New Scheme Total</i>	131	135	139	143	147	695

101. This scheme is to extend the current scheme whereby CYC provide equipment to vulnerable individuals which enables them to remain in their own homes and live in the community. This fitting, delivery, maintenance etc of equipment is undertaken by Be Independent but the equipment remains CYC's

property and the approval for the purchase of these major items is given by CYC staff. Timely supply of equipment is an essential component of prevention and enabling people to continue to live safely in their own homes. It also contributes to successful community reablement and is a key component of hospital discharge packages. The Audit Commission report “Fully Equipped 2002: Assisting Independence” evidences that saving in ongoing care of £30k was made on a £14k investment in equipment, approximately a 2:1 return.

102. Keeping people at home, in their communities is crucial for the individual's long term wellbeing but also the financial sustainability of the authority as long term formal care is significantly more expensive than the one off investment in equipment.

103. Telecare Equipment

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	-	-	-	-	275	275
<i>Existing Budget in Capital Programme</i>	444	251	259	267	-	1,221
<i>New Scheme Total</i>	444	251	259	267	275	1,496

104. This scheme is to extend the current scheme funding Telecare equipment into 23/24. Keeping people at home, in their communities is crucial for the individual's long-term wellbeing but also the financial sustainability of the authority as long term formal care is significantly more expensive than the one off investment in equipment.

105. Under this scheme sensors will continue to be installed in vulnerable customers' homes to deal with specific assessed risks. The sensors will be linked to our Community Alarm system and trigger alerts automatically given a programmed set of circumstances, ensuring speedy response from the warden service. These pieces of equipment provide customers with peace of mind and 24 hour monitoring of their circumstances. In some cases, the provision of telecare equipment can prevent a move to residential care and can provide monitoring so that smaller care packages can be commissioned. Telecare allows more people to be looked after at a lower cost and several studies have shown its cost effectiveness.

106. **ICT Rolling Programme**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	100	450	350	350	2,420	3,670
<i>Existing Budget in Capital Programme</i>	1,535	1,870	2,070	2,070	-	7,545
<i>New Scheme Total</i>	1,635	2,320	2,420	2,420	2,420	11,215

107. Since the establishment of the ICT 5 year development plan, the demands for digital services across the Council's frontline services has increased significantly. In addition the general cost of digital services, applications and ICT infrastructure has increased and so this scheme reflects a review of these increased costs over the next 5 years. All of this is in line with the planned ICT schemes over the next 5 years. The increase in the capital programme from years 21/22 onwards by £250k reflects this increased demand. The final year 2024/25 is the next year in the ICT rolling programme and is in line with previous years.

108. This scheme includes £100k per annum in year 1 & 2 to fund improvements to records management.

109. **City Centre Access Measures**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	1,000	-	-	-	-	1,000
<i>Existing Budget in Capital Programme</i>	562	-	-	-	-	562
<i>New Scheme Total</i>	1,562	-	-	-	-	1,562

110. As reported to Executive in August 2019 work is ongoing to progress implementation of hostile vehicle rated measures. The report indicated that further funding might be required in addition to the existing budget to deliver the permanent security measures. Based on current estimates the measures will require a further £1m investment.

111. Project Support Fund

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	-	-	-	-	200	200
<i>Existing Budget in Capital Programme</i>	200	200	200	200	-	800
<i>New Scheme Total</i>	200	200	200	200	200	1,000

112. This scheme provides provision to allow professional advice (primarily legal but also including finance and asset management) to be provided to support the successful delivery of bringing new assets into operation. Due to the difficulties in accurately estimating in full the required professional input at project inception it is felt the fund best sits separate to specific schemes and under the control of the Director of Customer & Corporate Services so it can be allocated to the most appropriate schemes.

113. All such costs incurred will be closely monitored and controlled within Customer and Corporate Services to ensure that the advice provided and charged for is directly attributable to bringing the new asset into use and permissible under the necessary guidance. As part of the annual financing activities of the Council at year end all such costs will be allocated to the specific scheme to which they were incurred (along with the supporting budget).

114. The budget will include a dedicated role that will oversee the project and programme management process across the Council covering primarily major capital projects. The remainder will be used to support financial and legal support across a number of specific major projects the Council is currently developing, specifically;

- York Central
- Castle/Piccadilly
- York Outer ring road
- Older Persons Programme.

115. The budget will be initially managed internally to allow for flexibility across projects, but will ultimately be added to the relevant schemes project budget/costs.

116. **Contingency**

	20/21 £000	21/22 £000	22/23 £000	23/24 £000	24/25 £000	Total £000
Capital Budget Process Request	250	-	-	-	-	250
<i>Existing Budget in Capital Programme</i>	-	-	-	-	-	-
<i>New Scheme Total</i>	<i>250</i>	-	-	-	-	<i>250</i>

117. The continuation of a prudent single year capital contingency to address any unexpected capital pressures in year.

Local Transport Plan

118. This scheme does not require any further council funds – for information the scheme has been updated to add £1.570m of anticipated external funding in 2024/25.

HRA & Housing General Fund

119. Further detail on the HRA & Housing General Fund capital bids has been included in the reports of the Executive member for Housing.

Table 1

NEW SCHEMES - CYC FUNDED		
Proof of Concept for robotics & AI within social care	-	100
Access Control Asset Replacement	-	230
Adaptions to Foster Carer Homes	-	100
Improving School Accessibility	-	500
Registry office Phase 2 Refurbishment	-	80
Waste Vehicle Replacement	-	6,600
EV Charging Asset Replacement	-	635
A19 Flood Alleviation enhancement	-	48
Flood Sign Renewal and Rainfall monitoring	-	200
Northern Forest	-	600
Castle Gateway	-	*
Early Years Support Schemes	-	30
29 Castlegate	-	270
Hazel Court Amenities block drying room	-	100
TOTAL - Funded by CYC Prudential Borrowing	-	9,493

Incremental Revenue Growth required

665

49

42

42

42

840

5 year rolling programme														
2020/21			21/22			22/23			23/24			24/25		
Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total
-	100	100	-	100	100	-	-	-	-	-	-	-	-	-
-	230	230	-	-	-	-	-	-	-	-	-	-	-	-
-	100	100	-	-	-	-	-	-	-	-	-	-	-	-
-	500	500	-	-	-	-	-	-	-	-	-	-	-	-
-	80	80	-	-	-	-	-	-	-	-	-	-	-	-
-	6,600	6,600	-	-	-	-	-	-	-	-	-	-	-	-
-	635	635	-	-	-	-	-	-	-	-	-	-	-	-
-	48	48	-	-	-	-	-	-	-	-	-	-	-	-
-	200	200	-	-	-	-	-	-	-	-	-	-	-	-
-	600	600	-	600	600	-	600	600	-	600	600	-	600	600
-	*	-	-	*	-	-	*	-	-	*	-	-	*	-
-	30	30	-	-	-	-	-	-	-	-	-	-	-	-
-	270	270	-	-	-	-	-	-	-	-	-	-	-	-
-	100	100	-	-	-	-	-	-	-	-	-	-	-	-
-	9,493	9,493	-	700	700	-	600	600	-	600	600	-	600	600

Existing Cap Prog Total £000	Total NEW £000	New Cap Prog Total £000
-	200	200
-	230	230
-	100	100
-	500	500
-	80	80
-	6,600	6,600
-	635	635
-	48	48
-	200	200
-	3,000	3,000
-	*	-
-	30	30
-	270	270
-	100	100
-	11,993	11,993

Table 2

NEW SCHEMES - Both CYC Funded & Externally Funded		
York Theatre Royal (CYC ELEMENT)	-	500
York Theatre Royal (EXTERNALLY FUNDED)	-	250
National Centre for Early Music (CYC ELEMENT)	-	25
National Centre for Early Music (EXTERNALLY FUNDED)	-	170
TOTAL - CYC Borrowing & External	-	945

Incremental Revenue Growth required

37

-

-

-

-

37

2020/21			21/22			22/23			23/24			24/25		
Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total
-	500	500	-	-	-	-	-	-	-	-	-	-	-	-
-	250	250	-	250	250	-	-	-	-	-	-	-	-	-
-	25	25	-	-	-	-	-	-	-	-	-	-	-	-
-	170	170	-	-	-	-	-	-	-	-	-	-	-	-
-	945	945	-	250	250	-	-	-	-	-	-	-	-	-

Existing Cap Prog Total £000	Total NEW £000	New Cap Prog Total £000
-	500	500
-	500	500
-	25	25
-	170	170
-	1,195	1,195

Table 3

ROLLING PROGRAMME SCHEMES - Amendments CYC FUNDED		
Fleet Equipment & Compliance - part a) Hazel Court Weigh Bridge	100	100
Fleet Equipment & Compliance - part b) Vehicle lift	-	92
Highways Schemes - part a)	4,100	5,100
Drainage	200	200
Gulley repair engineering works	250	950
Bar Walls - Part a) Presentation, lighting & Safety	300	226
Bar Walls - Part b) Presentation & Safety Station Ave Arch Eastbound	-	400
Replacement of Unsound lighting columns	122	578
CCTV Asset replacement	-	157
Asset Maintenance	220	250
Carbon Reduction - Energy Efficiency	250	250
Disabled Facilities Grant	475	475
Disability Support budget	220	220
Major items of disability equipment	131	131
Telecare equipment	444	444
ICT Rolling Programme	1,535	1,635
Project Support Fund	200	200
Contingency	-	250
City Centre Access Measures	562	1,562
TOTAL - Funded by CYC Prudential Borrowing	9,109	13,220

Incremental Revenue Growth required

288

208

167

392

729

1784

2020/21			21/22			22/23			23/24			24/25		
Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total
100	50	100	100	-	100	-	-	-	-	-	-	-	-	-
-	42	92	-	-	-	-	-	-	-	-	-	-	-	-
4,100	1,000	5,100	4,100	1,070	5,170	4,000	1,170	5,170	750	4,420	5,170	-	5,170	5,170
200	-	200	200	-	200	200	-	200	200	-	200	-	200	200
250	700	950	-	700	700	-	700	700	-	700	700	-	700	700
300	76	226	300	76	226	300	76	376	300	36	336	-	-	-
-	250	400	-	250	400	-	-	-	-	-	-	-	-	-
122	456	578	344	234	578	578	66	644	578	66	644	-	66	66
-	157	157	-	157	157	-	-	-	-	-	-	-	-	-
220	30	250	220	30	250	220	30	250	220	30	250	-	250	250
250	-	250	250	-	250	250	-	250	250	-	250	-	250	250
475	-	475	475	-	475	475	-	475	475	-	475	-	475	475
220	-	220	230	-	230	240	-	240	250	-	250	-	260	260
131	-	131	135	-	135	139	-	139	143	-	143	-	147	147
444	-	444	251	-	251	259	-	259	267	-	267	-	275	275
1,535	100	1,635	1,870	450	2,320	2,070	350	2,420	2,070	350	2,420	-	2,420	2,420
200	-	200	200	-	200	200	-	200	200	-	200	-	200	200
-	250	250	-	-	-	-	-	-	-	-	-	-	-	-
562	1,000	1,562	-	-	-	-	-	-	-	-	-	-	-	-
9,109	4,111	13,220	8,675	2,967	11,442	8,931	2,392	11,123	5,703	5,602	11,105	-	10,413	10,213

Existing Cap Prog Total £000	Total NEW £000	New Cap Prog Total £000
200	50	292
12,950	12,830	25,780
800	200	1,000
250	3,500	3,750
1,200	264	1,964
1,622	888	2,510
-	314	314
880	370	1,250
1,000	250	1,250
1,900	475	2,375
940	260	1,200
548	147	695
1,221	275	1,496
7,545	3,670	11,215
800	200	1,000
-	250	250
562	1,000	1,562
32,418	25,485	57,903

Table 7 Summary of funding

Funded Split	2020/21 £000			2021/22 £000			2022/23 £000			2023/24 £000			2024/25 £000		
	Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total	Existing Budget	NEW	New total
Total CYC Prudential Borrowing	47,685	14,129	61,814	33,506	3,667	37,173	12,159	2,992	15,151	5,703	6,202	11,905	-	11,013	11,013
Other Internal Funding	939	-	939	780	-	780	-	-	-	-	-	-	-	-	-
Total External Funding	172,639	420	173,059	29,498	250	29,748	8,486	-	8,486	5,598	-	5,598	-	5,300	5,300
Total HRA Funding	63,792	(296)	63,496	43,759	435	44,194	21,916	725	22,641	33,714	400	34,114	-	8,341	8,341
Overall Increase in Capital Programme	285,055	14,253	299,308	107,543	4,352	111,895	42,561	3,717	46,278	45,015	6,602	51,617	-	24,654	24,654

Table 8 overall revenue impact

Revenue Impact (following year)
Incremental Revenue Growth of CYC Prudential Borrowing
Overall Revenue Impact (Cost to CYC)

20/21 CRAM Growth
989

21/22 CRAM Growth
257

22/23 CRAM Growth
209

23/24 CRAM Growth
434

Existing Cap Prog Total £000	Total NEW £000	New Cap Prog Total £000
99,053	38,003	137,056
1,719	-	1,719
216,221	5,970	222,191
163,181	9,605	172,786
480,174	53,578	533,752
24/25 CRAM Growth		Revenue impact of CRAM bids £000
771		2,660
		2,660

* See Paragraph XXX in Annex 4a

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